

CALIFORNIA DEPARTMENT OF AGING INTRASTATE FUNDING FORMULA (IFF)

DESCRIPTIVE STATEMENT OF FORMULA

The California Department of Aging is required under Title III of the federal Older Americans Act (OAA) to develop a formula for the distribution of funds within the State under this title. This formula is to take into account, to the maximum extent feasible, the best available statistics on the geographical distribution of individuals aged 60 and older in the State and publish such formula for review and comment. The IFF allocates funds to planning and service areas (PSAs) to serve persons aged 60 and older (60+).

While the OAA is concerned with the provision of services to all older persons, it requires assurance that preference is given to older individuals with greatest economic or social needs, with particular attention to low-income minority individuals. Under the OAA, the term "greatest economic need" means the need resulting from an income level at or below the poverty levels established by the Office of Management and Budget. The term "greatest social need" means the need caused by non-economic factors that include physical and mental disabilities, language barriers, and cultural, social, or geographical isolation including that caused by racial or ethnic status which restricts an individual's ability to perform normal daily tasks or which threatens such individuals' capacity to live independently.

The CDA's IFF was developed: to support the provision of needed services to older persons; to reflect the relative emphasis required by the OAA; to provide consistent emphasis to individuals with certain characteristics, regardless of their area of residence; and to be responsive to California's diversity.

The requirement to give "preference" and "particular attention" to older individuals with certain characteristics recognizes that other older individuals with needs also are served under the OAA. The CDA takes this into account by assigning a weight of one (1.0), the least weight, to the population factor of 60+ Non-Minority, identified here as "other individuals."

The CDA then applied the definitions of greatest economic need and greatest social need in selecting the three remaining factors listed below, and assigned weights to develop a weighted population and to achieve the relative emphasis required by the OAA.

<u>INDIVIDUALS</u>	<u>FACTORS</u>	<u>WEIGHTS</u>
Greatest Economic Need:	60+ Low Income	2.0
Greatest Social Need:	60+ Minority	2.0
	60+ Geographical Isolation (Rural)	1.5
Other Individuals	60+ Non Minority	1.0
Medical underserved (IIID only)	60+ Medi-Cal Eligibles	1.0

When combined, these population factors and weights result in an allocation of Title III funds which is consistent with the OAA and which is based on the relative degree of emphasis (from 5.5 to 1.0) for the individuals noted below.

	<u>RELATIVE EMPHASIS</u>	
	<u>RURAL AREAS</u>	<u>OTHER AREAS</u>
Low Income Minority Individuals	5.5	4.0
Low Income Individuals (not Minority)	4.5	3.0
Minority Individuals (not Low Income)	3.5	2.0
Other Individuals	2.5	1.0

The CDA assumes that the IFF must: be equitable for all PSAs, and reflect consistent application among PSAs of greatest economic or social need, with particular attention to low-income minority individuals; include factors which are mutually exclusive whenever possible; utilize data that are available, dependable, and comparable statewide, and that are updated periodically to reflect current status; reflect changes in population characteristics among PSAs; and be as easy as possible to understand.

NUMERICAL STATEMENT OF THE FORMULA

The following is a description of the Intrastate Funding Formula (IFF used for allocating OAA Title III and VII funds in accordance with Section 45 CFR 1321.37

1. The process begins by identifying:
 - a. Total Federal and State matching funds available for allocation to Planning and Service Areas (PSAs) for each Title III and VII program. (Total in Demonstration Column O)
 - b. Population data, updated no more than annually as information is available, by county and arraying these data by PSA. (Population Data Columns A-F on Demonstration)
2. The Statewide total amount for the administration allocation is calculated by taking ten percent (10%) of the Federal funds. (The Total in Demonstration Total Column G)

3. The Statewide total amount for the program allocation is calculated by subtracting the administration allocation from the total for State and federal funds. (The Total in Demonstration Column M and N)
4. Administrative funds are allocated as follows:
 - a. Each PSA receives a fifty thousand dollar (\$50,000) base.
 - b. The balance of total administrative funds identified in 2. above is allocated to PSAs based on each PSA's proportion of California's total persons aged 60 and older.
 - c. Each PSA's total administration allocation is distributed among its qualifying Title III programs based on total qualifying administrative funds available.
5. Program funds are allocated based on weighted population figures. Weighted population totals are determined for each PSA by combining the following factors:
 - a. The number of non-minority persons aged 60 and older in each PSA is multiplied by a weight of 1.0 (Demonstration Column H).
 - b. The number of minority persons aged 60 and older in each PSA is multiplied by a weight of 2.0 (Demonstration Column I).
 - c. The number of low-income persons aged 60 and older in each PSA is multiplied by a weight of 2.0 (Demonstration Column J).
 - d. The number of geographically isolated persons aged 60 and older in each PSA is multiplied by a weight of 1.5 (Demonstration Column K).
 - e. The number of Medi-Cal eligible persons aged 60 and older in each PSA is multiplied by a weight of 1.0 (Demonstration Column L) for Title IIID only).
6. The total weighted population for each PSA is converted into a proportion of the total weighted population for all PSAs.
7. Each PSA's program allotments are determined in the following manner:
 - a. For Title IIIB, C-1, and C-2 programs,
 - i. Total State and federal program funds available are distributed to each PSA by multiplying each PSA's proportion or total weighted population by total statewide program allocation for Title III B, C and E.
 - ii. Each PSA's program allotment is compared to its 1979 allotment level. If a PSA is under its 1979 level, it receives an allotment equal to its 1979 level in lieu of the computed allotment in 7.a.1.
 - iii. The statewide program allocation is reduced by the total amount allocated to those PSAs receiving allotments equal to their 1979 level. The remaining statewide program allocation is then distributed to the remaining PSAs according to the formula to determine their adjusted total Title III B, C-1 and C-2 program allotments.

- iv. Total program funds for each PSA are then distributed to each Title III program as follows:
 - 1. Federal funds are distributed based on the proportion of funds received by the Department of the latest Notice of Grant Award from the Federal Government.
 - 2. State funds are distributed based upon the statewide totals included in the most recent Budget Act, or Budget bill if allocations impact the next budget year, or other relevant legislation.
- b. For Title IIIE and VII program funds are allocated by multiplying each PSA's proportion of the total weighted population by the total statewide program allocation for each program, then distributing to fund sources as in 7.A.4.
- c. For Title IIID program funds are allocated by multiplying each PSA's proportion of the total weighted population, including Medi-Cal eligibles, by the total statewide program allocation for each program, then distributing to fund sources as in 7.A.4.

CALIFORNIA DEPARTMENT OF AGING POPULATION DATA AND DEMONSTRATION OF AN ALLOCATION

Population Data (Number of Persons)												Demonstration of IFF Allocation											
a/	PSA	Pop 60 +	60+ Non-Min.	60+ Minority	60+ Low Income	60+ Geo. Isolation	60+ Medi-Cal Eligibles	b/	Area Admin Allocation	1.0 Non-Min	2.0 Minority	2.0 Low Income	1.5 Geo. Isolation	1.0 Medi-Cal Eligibles	Title III, C, E Weighted	Title IIIB Weighted	Total	Total	Total	Total	Total	Total	PSA
Col		A	B	C	D	E	F		G	H	I	J	K	L	M	N	O	P	Q	R	S	T	U
1	1	30,703	27,062	3,641	4,015	8,305	3,638		\$93,995	27,062	7,282	8,030	12,458	3,638	54,832	58,470	\$495,554	\$495,554	\$495,554	\$495,554	\$495,554	\$495,554	1
2	2	66,401	59,960	6,441	7,500	25,015	7,434		145,148	59,960	12,882	15,000	37,523	7,434	125,365	132,799	1,132,852	1,132,852	1,132,852	1,132,852	1,132,852	1,132,852	2
3	3	74,132	65,217	8,915	9,150	21,420	9,433		155,226	65,217	17,830	18,300	32,130	9,433	133,477	142,910	1,206,435	1,206,435	1,206,435	1,206,435	1,206,435	1,206,435	3
4	4	383,869	283,112	100,757	31,945	31,205	22,894		600,058	283,112	201,514	63,890	46,808	22,894	595,324	618,218	5,377,385	5,377,385	5,377,385	5,377,385	5,377,385	5,377,385	4
5	5	61,255	53,918	7,347	2,830	2,140	2,936		137,789	53,918	14,694	5,660	3,210	2,936	77,482	80,418	699,865	699,865	699,865	699,865	699,865	699,865	5
6	6	156,434	64,404	92,030	24,650	0	41,917		274,159	64,404	184,060	49,380	0	41,917	297,844	339,761	2,695,830	2,695,830	2,695,830	2,695,830	2,695,830	2,695,830	6
7	7	195,531	138,359	57,172	12,275	2,870	17,796		330,183	138,359	114,344	24,550	4,005	17,796	281,258	299,054	2,541,780	2,541,780	2,541,780	2,541,780	2,541,780	2,541,780	7
8	8	135,165	79,069	56,096	8,305	1,460	14,551		243,682	79,069	112,192	16,610	2,190	14,551	210,061	224,612	1,898,587	1,898,587	1,898,587	1,898,587	1,898,587	1,898,587	8
9	9	252,063	130,096	121,957	24,530	1,235	41,500		411,175	130,096	243,914	49,060	1,853	41,500	424,923	466,423	3,842,740	3,842,740	3,842,740	3,842,740	3,842,740	3,842,740	9
10	10	303,204	175,459	127,745	20,370	3,320	48,730		484,471	175,459	255,490	40,740	4,980	48,730	476,669	525,399	4,311,094	4,311,094	4,311,094	4,311,094	4,311,094	4,311,094	10
11	11	101,399	61,078	40,321	12,615	9,970	17,172		195,298	61,078	80,642	25,230	14,955	17,172	181,905	199,077	1,644,930	1,644,930	1,644,930	1,644,930	1,644,930	1,644,930	11
12	12	49,104	45,106	3,998	3,805	24,980	2,583		120,363	45,106	7,996	7,610	37,470	2,583	98,182	100,765	886,634	886,634	886,634	886,634	886,634	886,634	12
13	13	48,667	36,032	12,635	4,350	6,090	5,356		119,736	36,032	25,270	8,700	9,135	5,356	79,137	84,493	715,238	715,238	715,238	715,238	715,238	715,238	13
14	14	155,194	93,953	61,241	20,310	24,920	30,464		272,382	93,953	122,482	40,620	37,380	30,464	294,435	324,899	2,662,998	2,662,998	2,662,998	2,662,998	2,662,998	2,662,998	14
15	15	76,760	47,614	29,146	10,670	12,715	15,998		159,992	47,614	58,292	21,340	19,073	15,998	146,319	162,317	1,323,523	1,323,523	1,323,523	1,323,523	1,323,523	1,323,523	15
16	16	7,493	6,344	1,149	740	3,025	550		60,737	6,344	2,298	1,480	4,538	550	14,660	15,210	338,893	338,893	338,893	338,893	338,893	338,893	16
17	17	133,873	107,789	26,084	11,485	12,500	10,825		241,831	107,789	52,168	22,970	18,750	10,825	201,577	212,503	1,822,243	1,822,243	1,822,243	1,822,243	1,822,243	1,822,243	17
18	18	141,487	103,011	38,476	9,840	3,180	14,475		252,741	103,011	76,952	19,680	4,770	14,475	204,413	218,888	1,847,596	1,847,596	1,847,596	1,847,596	1,847,596	1,847,596	18
19	19	985,720	450,927	534,792	111,270	9,140	221,100		1,462,469	450,927	1,069,585	222,540	13,710	221,100	1,756,762	1,977,862	15,896,013	15,896,013	15,896,013	15,896,013	15,896,013	15,896,013	19
20	20	272,775	158,669	114,106	28,955	18,930	40,380		440,868	158,669	228,212	65,160	28,395	40,380	473,186	513,566	4,278,152	4,278,152	4,278,152	4,278,152	4,278,152	4,278,152	20
21	21	308,181	212,298	95,883	32,580	21,670	37,672		491,602	212,298	191,766	72,890	32,505	37,672	501,729	539,401	4,535,287	4,535,287	4,535,287	4,535,287	4,535,287	4,535,287	21
22	22	498,678	334,453	164,225	36,445	550	63,228		764,571	334,453	328,450	89,080	825	63,228	736,618	799,846	6,659,950	6,659,950	6,659,950	6,659,950	6,659,950	6,659,950	22
23	23	495,897	353,136	142,761	44,540	18,845	60,202		760,586	353,136	285,522	89,080	28,288	60,202	756,006	816,208	6,834,392	6,834,392	6,834,392	6,834,392	6,834,392	6,834,392	23
24	24	26,143	28,298	18,608	4,600	4,405	9,488		87,461	7,535	37,215	9,200	6,608	9,488	60,559	70,047	548,300	548,300	548,300	548,300	548,300	548,300	24
25	25	630,214	288,298	341,917	95,125	13,565	141,934		953,054	288,298	683,833	190,250	1,725	141,934	1,164,106	1,306,040	10,532,554	10,532,554	10,532,554	10,532,554	10,532,554	10,532,554	25
26	26	39,429	34,623	4,806	4,535	7,310	4,661		106,499	34,623	9,612	9,070	20,348	4,661	73,653	78,314	665,613	665,613	665,613	665,613	665,613	665,613	26
27	27	92,343	78,758	13,585	7,310	12,145	9,793		182,321	78,758	27,170	14,620	11,055	9,793	138,766	145,927	1,253,758	1,253,758	1,253,758	1,253,758	1,253,758	1,253,758	27
28	28	99,236	64,964	33,272	6,720	7,370	9,793		190,765	64,964	66,544	13,440	18,218	9,793	156,003	165,796	1,409,813	1,409,813	1,409,813	1,409,813	1,409,813	1,409,813	28
29	29	39,358	35,714	3,644	2,255	10,895	1,980		106,111	35,714	6,888	4,510	16,343	1,980	63,455	65,435	573,083	573,083	573,083	573,083	573,083	573,083	29
30	30	78,605	55,279	23,326	9,075	6,595	13,672		162,636	55,279	46,652	18,150	9,893	13,672	129,974	143,646	1,175,578	1,175,578	1,175,578	1,175,578	1,175,578	1,175,578	30
31	31	33,212	20,096	13,116	4,760	5,305	7,071		97,591	20,096	26,232	9,520	11,213	7,071	63,806	70,877	577,168	577,168	577,168	577,168	577,168	577,168	31
32	32	67,467	42,529	24,938	5,655	7,475	7,620		146,676	42,529	49,876	11,310	20,760	7,620	114,928	122,548	1,038,663	1,038,663	1,038,663	1,038,663	1,038,663	1,038,663	32
33	33	104,732	69,819	34,913	15,210	13,840	18,180		200,074	69,819	69,826	30,420	20,760	18,180	190,825	209,005	1,725,622	1,725,622	1,725,622	1,725,622	1,725,622	1,725,622	33
		6,143,524	3,784,681	2,358,843	628,460	346,030	952,395		\$10,453,250	3,784,681	4,717,686	1,256,920	519,045	952,395	10,278,332	11,230,727	\$93,148,143	\$93,148,143	\$93,148,143	\$93,148,143	\$93,148,143	\$93,148,143	

Notes for Population Data and Demonstration of Allocation

- a. Planning and Service Areas (PSAs) by County: 1 (Del Norte, Humboldt); 2 (Lassen, Modoc, Shasta, Siskiyou, Trinity); 3 (Butte, Colusa, Glenn, Plumas, Tehama); 4 (Nevada, Placer, Sacramento, Sierra, Sutter, Yolo, Yuba); 5 (Marin); 6 (San Francisco); 7 (Contra Costa); 8 (San Mateo); 9 (Alameda); 10 (Santa Clara); 11 (San Joaquin); 12 (Alpine, Amador, Calaveras, Mariposa, Tuolumne); 13 (San Benito, Santa Cruz); 14 (Fresno, Madera); 15 (Kings, Tulare); 16 (Inyo, Mono); 17 (San Luis Obispo, Santa Barbara); 18 (Ventura); 19 (Los Angeles County minus Los Angeles City); 20 (San Bernardino); 21 (Riverside); 22 (Orange); 23 (San Diego); 24 (Imperial); 25 (Los Angeles City); 26 (Lake, Mendocino); 27 (Sonoma); 29 (El Dorado); 30 (Stanislaus); 31 (Merced); 32 (Monterey); 33 (Kern).
- b. Source of data: 2000 Census updated by Department of Finance projection were available and Department of Health Services statistics for Medi-Cal eligibles.
- c. Non-Minority: Persons aged 60 and older who are Caucasian not of Hispanic origin.
- d. Minority: Persons aged 60 and older who are not Caucasian, or who are Caucasian of Hispanic origin.
- e. Low-Income: Persons aged 60 and older at or below 125 percent of poverty.
- f. Geographical Isolation (Rural): Population not classified as "urban" by the U.S. Bureau of the Census constitutes "rural" population. The U.S. Bureau of the Census defines "urban" for the 1990 census as comprising all territory, population, and housing units in urbanized areas and in places of 2,500 or more persons outside urbanized areas. More specifically, "urban" consists of territory, persons, and housing units in
 - a. Places of 2,500 or more persons incorporated as cities, and towns, but excluding the rural portions of "extended cities."
 - b. Census designated places of 2,500 or more persons.
 - c. Other territory, incorporated or unincorporated, included in urbanized areas.
- g. Medi-Cal Eligibles: Persons aged 60 and older who are Medi-Cal eligible. (DHS Medi-Cal statistics averaged for the year.)

IFF FEDERAL REGULATIONS

Title 42, Chapter 35, Subchapter III, Part A, Section 3025

(Older Americans Act, Section 305(a)(2))

"States shall,

(C) in consultation with area agencies, in accordance with guidelines issued by the Assistant Secretary, and using the best available data, develop and publish for review and comment a formula for distribution within the State of funds received under this title that takes into account—

- (i) the geographical distribution of older individuals in the State; and
- (ii) the distribution among planning and service areas of older individuals with greatest economic need and older individuals with greatest social need, with particular attention to low-income minority older individuals."

Title 42, Chapter 35, Subchapter I, Section 3002 (29-30)

(OAA Section 102 (23-24))

(23) The term "greatest economic need" means the need resulting from an income level at or below the poverty line.

(24) The term "greatest social need" means the need caused by noneconomic factors, which include -

- (A) physical and mental disabilities;
- (B) language barriers; and
- (C) cultural, social, or geographical isolation, including isolation caused by racial or ethnic status, that -
 - (i) restricts the ability of an individual to perform normal daily tasks; or
 - (ii) threatens the capacity of the individual to live independently.

WELFARE & INSTITUTIONS CODE 9112

9112. (a) The department shall implement an intrastate funding formula in accordance with all federal regulations. This formula shall apply to all federal and state funds allocated for programs provided for under Title III of the federal Older Americans Act (42 U.S.C. Sec. 3021, et seq.).

(b) The intrastate funding formula shall include all of the following:

(1) Assurances that all area agencies on aging shall have a fifty thousand dollar (\$50,000) administrative base with the remainder of the allowable administrative dollars allocated to planning and service areas on the basis of number of persons over the age of 60 years.

(2) (A) When data is available, an annual update by the department for changes in population characteristics to include the number of persons per planning and service area over the age of 60 years and persons in greatest economic or social need as measured by all of the following variables which shall also be annually updated by the department:

(i) The number of persons over the age of 65 years receiving aid under the State Supplementary Program for the Aged, Blind, and Disabled, provided for under Chapter 3 (commencing with Section 12000) of Part 3 of Division 9.

(ii) The number of persons over the age of 75 years.

(iii) The number of minority elderly over the age of 60 years.

(iv) The number of persons over the age of 60 years living alone.

(v) The number of non-English-speaking persons over the age of 60 years.

(B) The weight given to each variable shall simulate the weighting used in the Washington State intrastate funding formula adjusting for the geographic factor.

(3) A rural factor that guarantees a 105 percent allocation to rural planning and service areas.

(4) A hold-harmless factor that guarantees that no planning and service area shall have its federal and state allocation of funds under Title III of the federal Older Americans Act (42 U.S.C. Sec. 3021, et seq.), excluding area agency on aging administrative costs and funds carried over from the 1983-84 fiscal year, reduced below the 1984-85 fiscal year funding levels.

(c) In the event that additional federal or state funds, in excess of those appropriated under the 1984-85 Budget Act, or subsequent Budget Acts are made available for services, these funds shall be used to maintain existing service levels, with the remainder to be distributed to those planning and service areas which have been determined by the department to be under equity until parity is achieved.

(d) The department shall develop, in conjunction with the intrastate funding formula, a methodology for assuring compliance with the state targeting strategy on an intraplanning and service area basis. In developing this methodology the department shall provide assurances that as additional federal and state service dollars are allocated to the planning and service areas these dollars will be expended on those elderly individuals identified as in greatest economic or social need.

1984/85 Hold Harmless Levels

Counties	PSA	Allocation
Del Norte, Humboldt	1	638,030
Lassen, Modoc, Shasta, Siskiyou, Trinity	2	1,343,640
Butte, Colusa, Glenn, Plumas, Tehama	3	1,219,329
Nev, Placer, Sac, Sier, Suttr, Yolo, Yuba	4	2,680,471
Marin	5	543,618
San Francisco	6	2,564,711
Contra Costa	7	1,412,964
San Mateo	8	1,489,236
Alameda	9	2,706,261
Santa Clara	10	2,178,232
San Joaquin	11	1,096,216
Alpine, Amador, Calav, Mariposa, Tuolumne	12	479,583
San Benito, Santa Cruz	13	1,166,020
Fresno Madera	14	1,774,990
Kings, Tulare	15	1,197,439
Inyo, Mono	16	437,485
San Luis Obispo, Santa Barbara	17	1,286,428
Ventura	18	986,360
Los Angeles County	19	9,665,747
San Bernardino	20	1,989,919
Riverside	21	2,039,507
Orange	22	3,583,827
San Diego	23	4,121,459
Imperial	24	473,727
Los Angeles City	25	8,003,478
Lake, Mendocino	26	618,033
Sonoma	27	894,077
Napa, Solano	28	778,807
El Dorado	29	325,571
Stanislaus	30	760,286
Merced	31	398,343
Monterey	32	691,223
Kern	33	1,130,024
Totals		60,675,041

These numbers are compared to the new allocations for Programs III B, C1, C2, III D, and VII.
Totals include both State and Federal funds.

Federal Fiscal Year 2000 Test Allocation Levels

Counties	PSA	Allocation
Del Norte, Humboldt	1	439,053
Lassen, Modoc, Shasta, Siskiyou, Trinity	2	872,065
Butte, Colusa, Glenn, Plumas, Tehama	3	1,051,743
Nev, Placer, Sac, Sier, Sutr, Yolo, Yuba	4	3,661,339
Marin	5	462,806
San Francisco	6	2,344,530
Contra Costa	7	1,583,371
San Mateo	8	1,230,411
Alameda	9	2,567,441
Santa Clara	10	2,312,932
San Joaquin	11	1,203,398
Alpine, Amador, Calav, Mariposa, Tuolumne	12	697,396
San Benito, Santa Cruz	13	566,646
Fresno Madera	14	1,997,487
Kings, Tulare	15	1,055,115
Inyo, Mono	16	350,546
San Luis Obispo, Santa Barbara	17	1,323,255
Ventura	18	1,233,758
Los Angeles County	19	9,958,725
San Bernardino	20	2,809,671
Riverside	21	3,126,617
Orange	22	3,993,950
San Diego	23	4,912,086
Imperial	24	355,256
Los Angeles City	25	7,104,784
Lake, Mendocino	26	601,247
Sonoma	27	961,907
Napa, Solano	28	951,525
El Dorado	29	417,627
Stanislaus	30	735,164
Merced	31	442,642
Monterey	32	694,079
Kern	33	1,340,176
Totals		63,358,748

These numbers are compared to the program only numbers for III B, C1 & C2.
Totals include both State and Federal funds.